

# ECCO v4 development notes

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## abstract

These notes pertain to the ECCO v4 state estimate, model setup, and associated codes (Forget et al., 2015). Section 1 points to the other elements of documentation that are available online, and associated download procedures. Section 2 provides guidance to ECCO v4 users interested in operating the ECCO v4 model set-up and/or reproducing the ECCO v4 solution. Section 3 documents the re-implemented estimation modules of MITgcm. Some of the included material in section 3 is expected to eventually move to the MITgcm [manual](#).

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## References

Forget, G., J.-M. Campin, P. Heimbach, C. N. Hill, R. M. Ponte, and C. Wunsch, 2015: Ecco version 4: an integrated framework for non-linear inverse modeling and global ocean state estimation. *Geoscientific Model Development Discussions*, **8** (5), 3653–3743, doi:10.5194/gmdd-8-3653-2015, URL <http://www.geosci-model-dev-discuss.net/8/3653/2015/>.

# 1 downloads

This section documents locations and directions to download the MITgcm (section 1.1), the ECCO v4 model setup (section 1.2), the ECCO v4 state estimate output (section 1.3), and related diagnostic matlab tools (section 1.4).

## 1.1 MITgcm

To install the MITgcm:

- Go to the MITgcm web-page @ [mitgcm.org](http://mitgcm.org)
- Install MITgcm using cvs as explained @ [cvs](#)
- Run MITgcm using testreport as explained @ [manual](#), [howto](#)

Pre-requisites are cvs, gcc, gfortran (or alternatives), and mpi (only for parallel runs). For example, my laptop setup, including mpi and netcdf, involved the following mac ports:

- cvs @1.11.23\_1 (active)
- wget @1.14.5+ssl (active)
- gcc48 @4.8.2\_0 (active)
- mpich-default @3.0.4\_9+gcc48 (active)
- mpich-gcc48 @3.0.4\_9+fortran (active)
- netcdf @4.3.0\_2+dap+netcdf4 (active)
- netcdf-fortran @4.2.10+gcc48 (active)

Overriding the default mac gcc and mpich with the above requires:

- sudo port select -set gcc mp-gcc48
- sudo port select -set mpich mpich-gcc48-fortran

Using mpi and netcdf within MITgcm requires two environment variables:

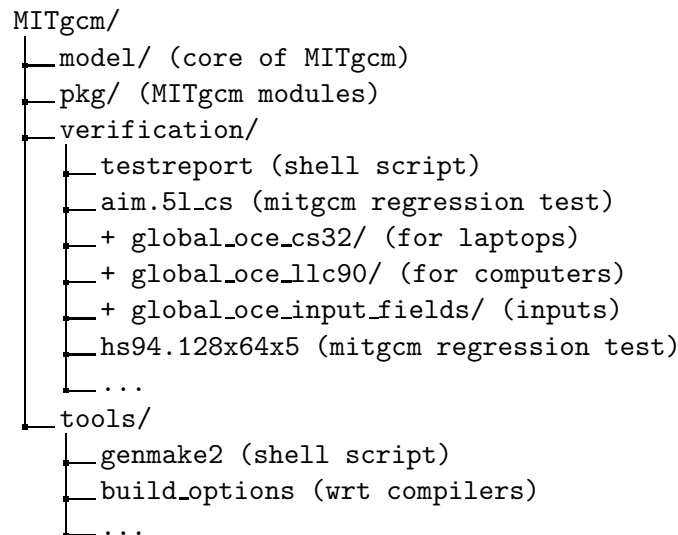
- export MPI\_INC\_DIR=/opt/local/include
- export NETCDF\_ROOT=/opt/local

## 1.2 ECCO v4 setup

Any MITgcm user can easily install the ECCO v4 setups using the [setup\\_these\\_exps.csh](#) shell script as explained @ [README](#). It downloads [global\\_oce\\_cs32/](#) (small setup), [global\\_oce\\_llc90/](#) (bigger setup) and model inputs from [global\\_oce\\_input\\_fields.tar.gz](#) to a subdirectory called [global\\_oce\\_tmp\\_download/](#). The user then wants to move its contents to MITgcm/verification/ (as shown in Fig.1) in order to allow for automated execution of the short benchmark runs via [testreport](#) using [genmake2](#) (see section 2.1). Pre-requisites: having downloaded MITgcm (section 1.1) and mpi libraries (only if user wants to run the bigger [global\\_oce\\_llc90/](#)).

The short benchmarks are ran on a daily basis to ensure continued compatibility with the up to date MITgcm. While the short benchmarks only go for a few time steps, [global\\_oce\\_llc90/](#) also is the basic setup that produces the 1992-2011 ECCO v4 ocean state estimate (Forget et al., 2015) when configured accordingly (as explained in section 2.2). Thus running the short benchmarks (section 2.1) is a useful step towards re-producing the state estimate (section 2.2). It should also be noted that an adjoint version of the short benchmarks also exist that can readily be run by users who access to the [TAF](#) compiler.

Figure 1: MITgcm directory structure downloaded using [cvs](#). The ECCO v4 directories indicated with "+" were downloaded separately using [setup\\_these\\_exps.csh](#) script and moved to MITgcm/verification/.



## 1.3 ECCO v4 solution

The state estimate output for ECCO v4-release 1 is available via [this server](#) which is linked to [ecco-group.org](#). The various subdirectories contain [monthly fields](#), [this documentation of the solution](#), [in situ and model profiles](#), [the grid specifications](#) and ancillary data as explained in [README.docx](#). For example a file (or a subdirectory) can be downloaded at the command line e.g. per

```
wget --recursive ftp://mit.ecco-group.org/ecco_for_las/version_4/release1/README.docx
```

## 1.4 Diagnostic Tools

To help ECCO v4 and MITgcm users analyze model output obtained either per section 1.3 or per section 2.2, two sets of Matlab tools are made freely available:

- download [gcmfaces](#) and [MITprof](#) using [shell script](#) (or see [getting\\_started.m](#))
- download [MITgcm/utls](#) using [cvs](#) (basic functionalities only).

Any user can for example regenerate [this documentation of the solution](#) (the [gcmfaces](#) ‘standard analysis’) from the section 1.3 or section 2.2 output (expectedly organized according to Fig.2) simply by executing [diags\\_driver.m](#) and [diags\\_driver\\_tex.m](#) in the following sequence :

```
diags_driver('release1/', 'release1/mat/', 1992:2011);
diags_driver_tex('release1/mat/', {}, 'release1/tex/standardAnalysis');
```

Figure 2: Directory structure as expected by [gcmfaces](#) and [MITprof](#) toolboxes. The toolboxes themselves can be relocated anywhere as long as their locations are included in the matlab path. Advanced analysis using [diags\\_driver.m](#) and [diags\\_driver\\_tex.m](#) will respectively generate the `mat/` directory (for intermediate computational results) and the `tex/` directory (for [standard analysis](#)). This diagnostic process relies on the depicted organization of `GRID/` and `solution/` for automation (user will otherwise be prompted to enter directory names) and depends on downloaded copies of [fields](#) to `nctiles/` (local subdirectory).

```
./
├── gcmfaces/ (matlab toolbox)
│   ├── sample_input/ (binary files)
│   ├── @gcmfaces/ (matlab codes)
│   ├── gcmfaces_calc/ (matlab codes)
│   └── ...
├── MITprof/ (matlab toolbox)
│   ├── profiles_samples/ (netcdf files)
│   ├── profiles_process_main_v2/ (matlab codes)
│   ├── profiles_stats/ (matlab codes)
│   └── ...
├── GRID/ (binary output)
├── release 1 solution/
│   ├── diags/ (binary output)
│   ├── nctiles/ (netcdf output)
│   ├── MITprof/ (netcdf output)
│   ├── mat/ (created by gcmfaces)
│   └── tex/ (created by gcmfaces)
├── other solution/
│   ├── diags/ (binary output)
│   └── ...
└── ...
```

## 2 MITgcm runs

The following procedures, commands and submission scripts allow runs of the ECCO v4 MITgcm setup – either in short regression tests (section 2.1) or for multi-decadal simulations such as the full 20 year state estimate (section 2.2). Pre-requisite for sections 2.1 and 2.2: having downloaded the MITgcm (section 1.1) and the ECCO v4 setups (section 1.2). Pre-requisite for section 2.2: having downloaded forcing fields and a few other binary model inputs (listed below).

### 2.1 regression tests

Short benchmarks of the MITgcm and ECCO v4 setup are run using testreport command line utility (see Fig.2; [howto](#)). Serial runs are executed simply at the command line e.g. per

```
./testreport -t global_oce_cs32
```

or

```
./testreport -skipdir global_oce_llc90
```

The reader is referred to ‘testreport –help’ and [howto](#) for additional explanation about such commands. If everything proceeds as expected then the result of the comparison with the reference result is reported to screen as shown in abbreviated form in Fig. 3. Depending on your machine environment the agreement with the reference result may be lower in which case ‘testreport’ may indicate ‘FAIL’ (e.g. see [README](#)). Despite the dramatic character of such message, this is generally ok and does not prevent reproducing full model solutions accurately (see section 2.2). If the testreport process gets interrupted then it is often safer to clean up experiment directories (e.g., by executing `./testreport -clean -t global_oce_*`) and start over.

```
default 10 ----T----- ----S-----
G D M    c      m s      m s
e p a R g m m e . m m e .
n n k u 2 i a a d i a a d
2 d e n d n x n . n x n .

Y Y Y Y>14<16 16 16 16 16 16 16 16 pass global_oce_cs32
```

Figure 3: Abbreviated output of testreport to screen.

The above ‘testreport’ commands deserve a couple more specific comments. The first command runs the `global_oce_cs32/` benchmark solely. The second command will run all MITgcm benchmarks including `global_oce_cs32/` but not the `global_oce_llc90/` benchmark that requires at least 12 processors in forward (96 in adjoint) and therefore should not be run in serial mode (doing so may crash your laptop). It is thus excluded by using the ‘skipdir’ option. It should be stressed however that `global_oce_cs32/` depends on the files in `global_oce_llc90/` (which is the main setup) rather than duplicating them. Therefore `global_oce_llc90/` must not be removed from MITgcm/verification for `global_oce_cs32/` to work.

Running the short benchmarks with mpi (assuming it has been installed) is equally simple:

```

85 ./testreport -of ../tools/build_options/linux_amd64_ifort+mpi_ice_nas \
86 -j 4 -MPI 96 -command 'mpiexec -np TR_NPROC ./mitgcmuv' \
87 -t global_oce_llc90

```

for example will run the forward [global\\_oce\\_llc90/](#) benchmark on 96 processors using an ifort compiler. Note that the specifics (number of processors and compiler choice) are to be determined by the user and are machine dependent.

Often in massively parallel computing environments, it is common that mpi jobs can only be run within a queuing system. The submission script in Fig.4 (that is also machine specific) provides an example on how to do it. It contains 3 hard-coded switches : fwdORad = 1 (2 for adjoint); numExp = 1 (2 for llc90); excludeMpi = 0 (1 for serial). This script should be located and submitted from MITgcm/verification. It is also common that compute nodes cannot access certain compilers, in which case the user may want to proceed in two steps:

1. compile outside of the queuing system using e.g. per

```

98 ./testreport -of ../tools/build_options/linux_amd64_ifort+mpi_ice_nas \
99 -j 4 -MPI 96 -command 'mpiexec -np TR_NPROC ./mitgcmuv' \
100 -t global_oce_llc90 -norun

```

2. submit the Fig.4 script, after adding -q to the 'opt' variable to skip compilation.

Running adjoint benchmarks requires access to the [TAF](#) compiler. The calls to testreport (see above) then only need to be slightly altered by appending the '-ad' option (for either serial or mpi jobs) and replacing 'mitgcmuv' with 'mitgcmuv\_ad' (only for mpi jobs). It should also be noted that, unlike other MITgcm benchmarks, [global\\_oce\\_cs32/](#) and [global\\_oce\\_llc90/](#) do not include any adjoint specific 'code\_ad/' directory as they simply use the forward model 'code/' directory instead. Since testreport relies on the existence of 'code\_ad/' for its adjoint option though, it is necessary to soft link 'code/' to 'code\_ad/' in both [global\\_oce\\_cs32/](#) and [global\\_oce\\_llc90/](#) accordingly in order to to run their 'testreport -ad' versions.

Figure 4: Example script to run mpi testreport via a queueing system (machine dependent).

```

#PBS -S /bin/csh
#PBS -l select=1:ncpus=16:model=ivy+4:ncpus=20:model=ivy
#PBS -l walltime=02:00:00
#PBS -q devel
#PBS -m n

#environment variables and libraries
#-----
limit stacksize unlimited
module purge
module load modules comp-intel/2013.1.117 mpi-sgi/mpt.2.10r6 netcdf/4.0
#
setenv LD_LIBRARY_PATH ${LD_LIBRARY_PATH}:${HOME}/lib
setenv MPI_IB_TIMEOUT 20
setenv MPI_IB_RAILS 2
setenv MPI_IB_FAILOVER 1
setenv MPI_CONNECTIONS_THRESHOLD 2049

#local variables and commands
#-----
set fwdORad = 1
set numExp = 1
set excludeMpi = 0
#
if ( ${numExp} == 1 ) then
    set nameExp = global_oce_cs32
    set NBproc = 6
else
    set nameExp = global_oce_llc90
    set NBproc = 96
endif
#
if ( ${excludeMpi} == 1 ) then
    set opt = '-of ../tools/build_options/linux_amd64_ifort -j 4'
else
    set opt = '-of ../tools/build_options/linux_amd64_ifort+mpi_ice_nas -j 4'
endif
#
if ( ${fwdORad} == 1 && ${excludeMpi} == 0 ) then
    ./testreport ${opt} -MPI \
    ${NBproc} -command 'mpiexec -np TR_NPROC ./mitgcmuv' -t ${nameExp}
else if ( ${fwdORad} == 2 && ${excludeMpi} == 0 ) then
    ./testreport ${opt} -MPI \
    ${NBproc} -command 'mpiexec -np TR_NPROC ./mitgcmuv_ad' -ad -t ${nameExp}
else if ( ${fwdORad} == 1 && ${excludeMpi} == 1 ) then
    ./testreport ${opt} -t ${nameExp}
else if ( ${fwdORad} == 2 && ${excludeMpi} == 1 ) then
    ./testreport ${opt} -ad -t ${nameExp}
endif

exit

```

## 2.2 full ECCO v4 runs

The 1992-2011 ECCO v4 ocean state estimate (Forget et al., 2015) is reproduced on a monthly basis to ensure continued compatibility with the up to date MITgcm. Unlike for the short benchmarks of section 2.1, in the case of this longer model run:

- the model is compiled and run outside of testreport.
- the model is compiled with compiler optimization.
- additional forcing and binary input is necessary.
- additional memory and/or disk space is necessary.

The reader is referred to [howto](#) for a general explanation of such practice. The typical compilation sequence for the ECCO v4 forward model (i.e. the model setup in [global\\_oce\\_llc90/](#)) is shown in Fig.5. The `tamc.h_itXX` and `profiles.h_itXX` headers (see Fig.5) allow for additional time steps and in situ profiles input, respectively. Once done with compilation, the user typically creates and enters a run directory, links the model executable and inputs into place (see Fig.6), and submits a job to the queueing system (see Fig.7). To obtain the additional model input required to reproduce the baseline 1992-2011 solution (i.e. the ECCO v4 state estimate) please contact [ecco-support@mit.edu](mailto:ecco-support@mit.edu). It consists of:

- the forcing files (`EIG*199?` `EIG*20??`).
- the initial conditions (`pickup*`) and control vector adjustments (`xx_*`).
- the insitu data sets inputs (`*feb2013*.nc`) used for benchmarking purposes (see below).

Re-running the baseline 20 year solution (or in fact running any other 20 year solution of [global\\_oce\\_llc90/](#)) on 96 processors may take about 8 to 12 hours (depending on the computing environment). Once the model run has completed, one wants to verify that it accurately reproduces the reference result – or detect that a mistake was made. To this end, a mechanism that is analogous to testreport but is geared towards benchmarking long runs was introduced by Forget et al. (2015). It is operated by `testreport_ecco.m` within Matlab. The pre-requisite is to add the reference result directory `'MITgcm/verification/global_oce_llc90/results_itXX/'` to the Matlab path. As explained in Forget et al. (2015) `testreport_ecco.m` compares time series of global mean variables, and other characteristics of the solution, to the reference state estimate values. The array of tests can be extended to e.g. meridional transports by adding `gcmfaces` to the Matlab path. The typical call sequence is indicated in the help of `testreport_ecco.m` and in Fig.8 that also illustrate the typical display of the benchmarking results report to the user screen. The expected level of accuracy for re-runs of the baseline 20 year solution (with an up to date MITgcm code on any given computer) is reached when the displayed values are  $< -4$  (see Forget et al., 2015, for details). In cases when some of the tests were omitted (e.g. because `gcmfaces` was not in the Matlab path) the display will show NaN for omitted tests.

Figure 5: Compilation directives, outside testreport, for intensive model runs. On a different machine (computer) another build option file such as linux\_amd64\_gfortran or linux\_amd64\_ifort11 should be used. To compile the adjoint, users need a [TAF](#) license and to replace ‘make -j 4’ with ‘make adall -j 4’. Note : the ‘-mods=../code’ specification can be omitted if the build directory contains the ‘genmake\_local’ file).

```
cd verification/global_oce_llc90/build
../../../../tools/genmake2 -optfile=\\
../../../../tools/build_options/linux_amd64_ifort+mpi_ice_nas -mpi -mods=../code

make depend

\rm tamc.h profiles.h
cp ../code/tamc.h_itXX tamc.h
cp ../code/profiles.h_itXX profiles.h

make -j 4
```

Figure 6: Example script to setup the 20 year ECCO v4 state estimate. It is implied that user has filled directories /bla, /blaa, /blaaa and /blaaa with appropriate forcing, observational, control vector, and pickup files.

```
#!/bin/csh -f

set forcingDir = ~/bla
set obsDir     = ~/blaa
set ctrlDir    = ~/blaaa
set pickDir    = ~/blaaaa

source ../input_itXX/prepare_run
cp ../build/mitgcmuv .
\rm pick*ta EIG*
ln -s ${forcingDir}/EIG* .
ln -s ${obsDir}/* .
ln -s ${ctrlDir}/xx* .
ln -s ${pickDir}/pick* .

exit
```

Figure 7: Example script to run the 20 year ECCO v4 state estimate on 96 processors (machine dependent).

```
PBS -S /bin/csh
#PBS -l select=1:ncpus=16:model=ivy+4:ncpus=20:model=ivy
#PBS -l walltime=12:00:00
#PBS -q long

#environment variables and libraries
#-----
limit stacksize unlimited
module purge
module load modules comp-intel/2013.1.117 mpi-sgi/mpt.2.10r6 netcdf/4.0
#
setenv LD_LIBRARY_PATH ${LD_LIBRARY_PATH}:${HOME}/lib
setenv MPI_IB_TIMEOUT 20
setenv MPI_IB_RAILS 2
setenv MPI_IB_FAILOVER 1
setenv MPI_CONNECTIONS_THRESHOLD 2049

#run MITgcm
#-----
mpiexec -np 96 dplace -s1 ./mitgcmuv
exit
```

Figure 8: Calling sequence to be executed from within matlab to verify that their re-run of the 20 year ECO v4 state estimate is acceptably close to the released state estimate.

```
addpath ../results_itXX;%necessary .m and .mat files
mytest0=testreport_ecco([], 'release1'); mytest0.info.interactive=0;%initialization
mytest=testreport_ecco(mytest0, 'release1', [-1:4], './', 1);%compute the tests
testreport_ecco(mytest, 'release1');%display the results
%testreport_write(mytest, 'myRun');%save the results to a mat file
```

The result as displayed to screen should look something like:

```
-----
          & jT & jS & jTs &          ... & (reference is)
r4it11.c65l/ & (-6) & (-6) & (-6) &          ... & release1
-----
```

### 3 re-implemented ecco and ctrl packages

State estimation consists in minimizing a least squares distance,  $J(\mathbf{u})$ , that is defined as

$$J(\mathbf{u}) = \sum_i \alpha_i \times (\mathbf{d}_i^T \mathbf{R}_i^{-1} \mathbf{d}_i) + \sum_j \beta_j \times (\mathbf{u}_j^T \mathbf{u}_j) \quad (1)$$

$$\mathbf{d}_i = \mathcal{P}(\mathbf{m}_i - \mathbf{o}_i) \quad (2)$$

$$\mathbf{m}_i = \mathcal{SDM}(\mathbf{v}) \quad (3)$$

$$\mathbf{v} = \mathcal{Q}(\mathbf{u}) \quad (4)$$

$$\mathbf{u} = \mathcal{R}(\mathbf{u}') \quad (5)$$

where  $\mathbf{d}_i$  denotes a set of model-data differences,  $\alpha_i$  the corresponding multiplier,  $\mathbf{R}_i^{-1}$  the corresponding weights,  $\mathbf{u}_j$  a set of non-dimensional controls,  $\beta_j$  the corresponding multiplier, and additional symbols appearing in Eqs. 2-5 are defined below. The implementation of Eqs. 1-5 and the adjoint interface within the MITgcm is charted in Fig. 9. A general presentation of Eqs. 1-5 and Fig. 9 can readily be found in Forget et al. (2015). The focus here is on the underlying recent code development in the ‘pkg/ecco’ and ‘pkg/ctrl’ packages of MITgcm. These features are now tested daily via [globaloce.cs32/](http://globaloce.cs32/) (adjoint experiment) that will also serve here for illustration in this document.

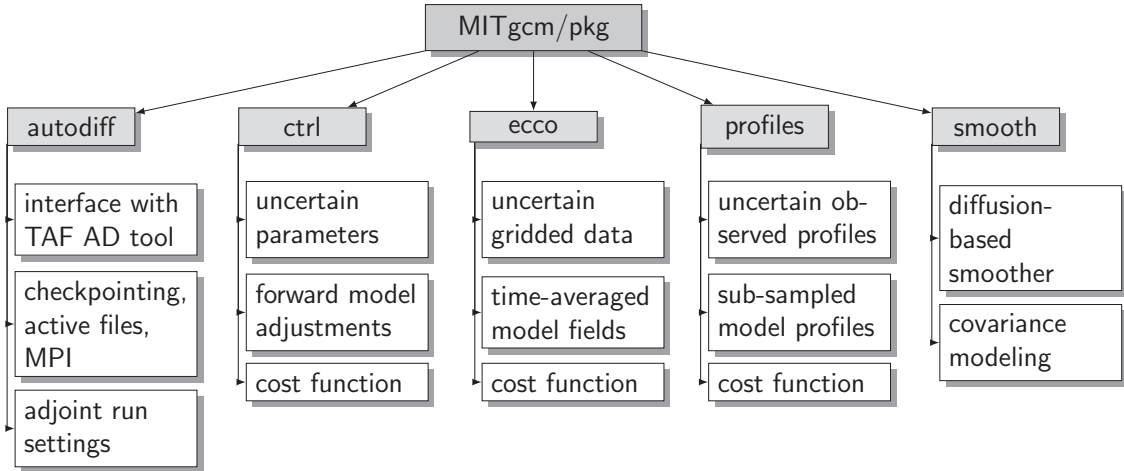


Figure 9: Chart of the organization and roles of MITgcm estimation modules. Additional details are reported in the MITgcm [manual](#), Forget et al. (2015), and section 3.

### 3.1 pkg/ecco run-time parameters

Note to self ... <sup>1</sup>

Model counterparts ( $m_i$ ) to observational data ( $o_i$ ) derive from control parameters ( $\mathbf{v}$ ) through the model dynamics ( $\mathcal{M}$ ), diagnostic computations ( $\mathcal{D}$ ), and averaging (or subsampling in 'pkg/profiles') in space and time ( $\mathcal{S}$ ). The physical variable in  $m_i$  is specified at run time via the first characters in 'gencost\_barfile' (to match the observed variable in  $o_i$ ) as illustrated in [this data.ecco](#) and [that data.ecco](#). The list of implemented variables as of the MITgcm checkpoint c65l consists of 'eta', 'sst', 'sss', 'bp', 'tauZon', 'tauMer', 'theta', 'salt' (list obtained by: `grep gencost_barfile pkg/ecco/cost_gencost_customize.F`). In the case of three dimensional variables (e.g. 'theta' or 'salt') the 'gencost\_is3d' run-time option must be set to .TRUE. (it .FALSE. by default). The file name for the observational fields ( $o_i$ ) and the model-data uncertainty field ( $\sqrt{\mathbf{R}_i}$ ) are specified at run time via 'gencost\_datafile' and 'gencost\_errfile' respectively. The cost function multiplier ( $\alpha_i$ ) further needs to be specified by 'mult\_gencost' (it is 0. by default).

Both  $\mathcal{D}$  and  $\mathcal{S}$  in Eq.3 are mainly carried out as the forward model steps through time, respectively by [ecco\\_phys.F](#) and [cost\\_averagesgeneric.F](#), and  $m_i$  is written to file periodically.  $m_i$  and  $o_i$  normally are time series of daily or monthly averages, as specified at run time via 'gencost\_avgperiod'. However dense time series of model time steps can also be employed for testing purposes as illustrated in [this data.ecco](#). Furthermore climatologies of  $m_i$  can be formed from its time series by [cost\\_genread.F](#) to allow for comparison with observational  $o_i$  climatologies. This part of the  $m_i$  processing is carried out after the full time series has been written to file. It is activated via the 'gencost\_preproc' option as illustrated in [this data.ecco](#).

Model-data misfits are computed (Eq. 2) upon completion of the forward model simulation by [cost\\_generic.F](#) that relies on [ecco\\_toolbox.F](#) for elementary operations and on [cost\\_genread.F](#) for re-reading  $m_i$  from file. Plain model-data misfits ( $m_i - o_i$ ) can be penalized directly (i.e. used in Eq. 1 in place of  $d_i$ ). More generally though misfits to be penalized ( $d_i$  in Eq. 1) derive from  $m_i - o_i$  through a generic post-processor ( $\mathcal{P}$  in Eq. 2). They can thus be smoothed in space at run time via 'gencost\_posproc' for example (see [this data.ecco](#)). The overall sequence of operations for one cost function term is charted in Fig.10.

---

<sup>1</sup>Mention summary in stdout and cost function printouts

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**Algorithm 1** Generic cost function algorithm.

---

|                                            |                                           |
|--------------------------------------------|-------------------------------------------|
| 1: <b>function</b> COST_GENERIC(...)       | ▷ Argument list defines the cost function |
| 2: <b>call</b> ecco_zero                   | ▷ Initialize local array to 0             |
| 3: <b>call</b> ecco_cprrl                  | ▷ Copy mask to local array                |
| 4: <b>for</b> irec = 1, nrecloop <b>do</b> | ▷ Loop over time steps, days or months    |
| 5: <b>call</b> cost_gencal                 | ▷ Get file names, pointers                |
| 6: <b>Begin</b> cost_genread               | ▷ Read, process model field               |
| 7: <b>if</b> no preproc <b>then</b>        |                                           |
| 8: <b>call</b> ecco_readbar                | ▷ Read one record                         |
| 9: <b>else if</b> preproc=clim <b>then</b> |                                           |
| 10: <b>call</b> ecco_readbar within loop   | ▷ Average records                         |
| 11: <b>end if</b>                          |                                           |
| 12: <b>End</b> cost_genread                |                                           |
| 13: <b>call</b> mdsreadfield               | ▷ Read observational field                |
| 14: <b>call</b> ecco_diffmsk               | ▷ Compute masked model-data misfit        |
| 15: <b>if</b> posproc=smooth <b>then</b>   |                                           |
| 16: <b>call</b> smooth_hetero2d            | ▷ Smooth masked misfit                    |
| 17: <b>end if</b>                          |                                           |
| 18: <b>call</b> ecco_addcost               | ▷ Add to cost function                    |
| 19: <b>end for</b>                         |                                           |
| 20: <b>end function</b>                    |                                           |

---

Figure 10: Chart of the generic cost function routine in pkg/ecco.

## 3.2 pkg/ctrl run-time parameters

Note to self ... <sup>2</sup>

The control problem is non-dimensional, as reflected by the omission of weights in control penalties ( $u_j^T u_j$ , Eq.1). Non-dimensional controls are scaled to physical units through multiplication by their respective uncertainty fields, as part of the generic pre-processor  $Q$  (Eq.4) that can also include the spatial correlation model and/or a mapping in time such as the cyclic repetition of mean seasonal controls for example. Pre-conditioner  $\mathcal{R}$  (Eq.5) does not appear in the estimation problem itself (Eq.1), as it only serves to push an optimization process preferentially towards certain directions of the control space.

Key pkg/ctrl generic routines :

- `ctrl_map_ini_gen.F` computes dimensional control vector adjustments (Eq.4).
- `ctrl_map_ini_gentim2d.F` computes dimensional control vector adjustments (Eq.4).
- `ctrl_map_gentim2d.F` maps time varying controls to active model variables.
- `ctrl_map_ini_genarr.F` maps time invariant controls to active model variables.
- `ctrl_cost_gen.F` computes cost function penalties for all generic controls (in Eq.1).

## 3.3 MITgcm compiling options

Note to self ... <sup>3</sup>

Much of the legacy code that has been distributed as part of ‘pkg/ecco’ and ‘pkg/ctrl’ in the past is now deprecated – it is superseded by the generic cost functions and controls codes presented above. Most of the deprecated codes had not been tested or maintained for many years, and consist of variations of the same operations duplicated many times. Another issue was the lack of organization amongst the deprecated codes (unlike in Fig.9). The consensus was that there was no point in keeping them around much longer.

For the time being the deprecated codes still exist but they are not compiled anymore unless the ‘ECCO\_CTRL\_DEPRECATED’ compile option is added in e.g. ‘ECCO\_CPPOPTIONS.h’ (see below for details). To further facilitate the transition from old to new setup, the `ctrlUseGen` run-time parameter was added that switches between the old and new (generic) treatment of control vectors (assuming that ‘ECCO\_CTRL\_DEPRECATED’ was defined at compile time). As a side note: there is one non-generic feature that ISN’T deprecated since it has not been re-implemented in generic fashion, which is the control of open boundary conditions.

The deprecation of the legacy codes leads to a vast reduction in the volume of estimation codes (30% of the code treated by automatic differentiation, which includes the entire physical model, was removed in the process), a vast addition of capabilities (new or pre-existing functionalities are now available for any gridded data set), and a greatly improved flexibility (virtually all options can now be switched on/off at run time). Furthermore, the ecco, ctrl and autodiff packages were made independent of each other, and to follow general principles of MITgcm packages. Thus they can now be switched on/off at run time, independently (by virtue of `useECCO`, `useCTRL`, `useAUTODIFF`).

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<sup>2</sup>Mention [this data.ctrl](#) ... [that data.ctrl](#) ... [this CTRL\\_OPTIONS.h](#) ... eccodevel email

<sup>3</sup>Mention optim and packing

221     Compiling options are typically found in the ‘code/’ directory of any given setup of MIT-  
222 gcm (when customized) or in the corresponding MITgcm package (when using defaults). The  
223 most obvious difference between [the new setup](#) and [an old setup](#) is that [CPP\\_OPTIONS.h](#) now  
224 disregards [ECCO\\_CPPOPTIONS.h](#) and uses the following instead :

- 225     • [AUTODIFF\\_OPTIONS.h](#) contains the few compile directives of pkg/autodiff. The maxi-  
226       mum numbers of time steps are set in tamc.h
- 227     • [ECCO\\_OPTIONS.h](#) contains compile directives of pkg/ecco. Very few remain necessary,  
228       since all generic cost function settings can now be chosen at run time. The maximum  
229       numbers of cost terms are set in ecco.h
- 230     • [CTRL\\_OPTIONS.h](#) contains compile directives of pkg/ctrl. Very few remain necessary,  
231       since all generic control settings can now be chosen at run time. The maximum numbers  
232       of controls are set in CTRL\_SIZE.h
- 233     • along with MOM\_COMMON\_OPTIONS.h, GMREDLOPTIONS.h, GGL90\_OPTIONS.h,  
234       PROFILES\_OPTIONS.h, EXF\_OPTIONS.h, SEAICE\_OPTIONS.h, DIAG\_OPTIONS.h